



Monitoring and Auditing of the Voter Registration

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Importance of the voter registration

- guarantee that those legally entitled to vote are able to do so;
- prohibit ineligible people from voting; and
- prevent people from voting more than once.



Some definitions and terminology

- Periodic or Continuous**
- Individual-Initiated, State-Initiated or State-Created/Automatic**
- Compulsory or Voluntary**



STEP 1: Understanding the principles – who should vote?

- Unacceptable Criteria:**
 - Race,
 - Color,
 - Sex,
 - Language,
 - Religion,
 - Political or other opinion,
 - Social origin
 - Ownership of property



STEP 1: Understanding the principles – who should vote?

What else?

Illiterate

Physical disabilities

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CIDA



IOM



OAS



STEP 1: Understanding the principles – who should vote?

- **Acceptable Criteria**
 - **Citizenship,**
 - **Residency, and**
 - **Age**
- What else ?**
 - **Criminal record; or**
 - **Military or police service.**



Step 2: Understanding the process

Identification of Voters >> Data Entry of Information about Voters >> Production of Preliminary Voters List >> Verification of Preliminary Voters List >> Claims and Objections >> Data Entry of Additions, Deletions and Corrections >> Production of Revised >> Voters List >> Distribution of Voter ID Cards >> Data Entry of Final Changes >> Production of Final >> Voters List >> Distribution of Final Voters List to Polling Stations >> Use of Final Voters Lists by Election Officials at Polling Station to determine Voter Eligibility



Step 2: Understanding the process

Extracting the list of eligible voters from the Civil Registry >> Production of The Final Voters List >> Distribution of Final Voters >> List to Polling Stations >> Use of Final Voters Lists by Election Officials at Polling Station to determine Voter Eligibility



Step: 3 defining your monitoring/auditing strategy and techniques

- **Mission?**
- **Goal?**
- **Clients?**
- **Prerequisites? Challenges?**

Techniques



- **Monitoring/Auditing Access**
- **Field audits of the List**
List-to-People Tests; and People-to-List Tests
- **Tests of the Voters List**
- **Database Audits - Monitoring of use of technology in voter registration**

Technology and Database



Understanding Voters List Databases

Use of Existing Records - Transfer of Records

Data Migration Process

Formatting of Fields and Records

Unique Identifiers

Software Compatibility

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Technology and Database



Collection of Data

Direct Recording

- Development of the System
- Software
- Testing
- Accountability
- Security, Back Up and Data Transfer Procedures
- Development, Delivery, Maintenance, Troubleshooting and Service of Technologies
- Training

Technology and Database



Indirect Recording

- Forms and Data Sources
- Manual Data Entry
- Scanning – Optical Mark Recognition and Optical Character Recognition

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Technology and Database



Voter Database Requirements for Auditability

- (1) database design
- (2) database management

- ***Primary Voters List Database Data***
- ***Secondary Voters List Database Data***
- ***Accountability***
- ***“Audit Trail”***
- ***Security and Access***
- ***Compatibility***
- ***Overall Database Structure***
- ***Content Testing***